

# A proof of collatz conjecture

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Continuing with the above rule, the final result will be 1. This paper gives a proof of this conjecture.

**Key words:** Number theory; Collatz conjecture

## ABSTRACT

In 1937, German mathematician L. Collatz proposed the following conjecture: for any positive integer, if it is even, divide it by 2, if it is odd, multiply it by 3 and add 1 to get an even number.

## INTRODUCTION

In 1937, German mathematician L. Collatz proposed the following conjecture that for a definite positive integer  $p$ , if  $p$  is even, divide it by 2, if  $p$  is odd, multiply it by 3 and add 1 to get an even number. Continuing with the above rule, the final result will be 1.

Collatz conjecture has been studied by many people and has long been regarded as an unsolved problem [1-3]. This paper gives a proof of this conjecture.

### Preliminaries

#### Definition 2.1:

Starting from a positive integer  $p$ , the process with the rule in introduction is called a Collatz sequence.  $p$  is said to be successful if 1 is finally obtained. Otherwise,  $p$  is not successful.

#### Remark:

Any positive integer  $p$  can be written as that  $p = 2^k(2m-1)$ , where  $m$  is a positive integer and  $k$  is a positive integer or 0. When  $k > 0$ ,  $p$  is even, and when  $k = 0$ ,  $p = 2m-1$  is odd. If any odd number is successful, then since the even number  $p = 2^k(2m-1)$  is divided by 2  $k$  times to get the odd number  $2m-1$ , the even number  $p = 2^k(2m-1)$  is also successful.

In other words, to prove that Collatz conjecture holds, it is sufficient to show that any odd number is successful.

In this paper, the following discussion focuses on the Collatz sequence for odd numbers, and the even numbers in the Collatz sequence are omitted.

Example: For a positive integer  $p = 44$ , its Collatz sequence is that 44, 22, 11, 34, 17, 52, 26, 13, 40, 20, 10, 5, 16, 8, 4, 2, 1, 4, 2, 1, .... By removing all even numbers, it becomes that 11, 17, 13, 5, 1, 1, 1, .... We will omit the duplicate odd number 1 later on. It becomes that 11, 17, 13, 5, 1. That is, the odd number 11 is successful. This implies that odd 17, odd 13, odd 5, odd 1 are all successful. This also

implies that even  $2^k$ , even  $2^k \times 5$ , even  $2^k \times 13$ , even  $2^k \times 17$ , even  $2^k \times 11$  are all successful, where  $k = 1, 2, 3, \dots$

#### Definition 2.2:

A sequence obtained by omitting all even numbers in a Collatz sequence starting with an odd number  $p$  is called a Collatz odd sequence.  $p$  is said to be successful if 1 is finally obtained. Otherwise,  $p$  is not successful.

#### Remark:

Obviously, whether an odd number  $p$  is successful, and Definition 2.1 and Definition 2.2 are equivalent

#### Definition 2.3:

For two odd numbers  $p$  and  $q$  in a Collatz odd sequence, if  $3p + 1 = 2^k q$ , where  $k > 0$  is a positive integer, then  $p$  is said to be a front odd number of  $q$ , and  $q$  is said to be a back odd number of  $p$ .

In the previous example, because  $11 \times 3 + 1 = 17 \times 2$ , where  $k = 1$ , so 11 is a front odd number of 17, and 17 is a back odd number of 11. Similarly, because  $17 \times 3 + 1 = 13 \times 2^2$ , where  $k = 2$ , so 17 is a front odd number of 13, 13 is a back odd number of 17, etc.

#### Theorem 2.1:

If  $p$  is a front odd number of  $q$ , then  $4p+1$  is also a front odd number of  $q$ . Further if  $3p+1 = 2^k q$ , where  $k > 0$  is a positive integer, then  $3(4p+1)+1 = 2^{k+2} q$ .

#### Proof:

Since  $p$  is a front odd number of  $q$ , there exists a positive integer  $k > 0$ , such that  $3p+1 = 2^k q$ . So  $3(4p+1)+1 = 12p+4 = 4(3p+1) = 4 \times 2^k q = 2^{k+2} q$ . QED

Consider the sequence  $\{a_n\}: a_1, a_2, \dots, a_n, \dots$ , where  $a_1$  is an odd number, and  $a_n = 4a_{n-1} + 1, n = 2, 3, 4, \dots$

#### Corollary 2.2:

For the above sequence  $\{a_n\}$ , if the odd number  $a_1$  is a front odd number of the odd number  $p$ , then each term in the sequence  $\{a_n\}$  is a

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front odd number of  $p$ . Further if  $3a_1 + 1 = 2^k p$ , then  $3a_2 + 1 = 2^{k+2} p, \dots, 3a_n + 1 = 2^{k+2(n-1)} p$ , where  $n = 2, 3, 4, \dots$

Proof:

This is a direct corollary of Theorem 2.1.

QED

Theorem 2.3:

For any odd number  $p$ , there is a back odd number  $q$ , and  $q$  is the unique back odd number of  $p$ .

Proof:

According to the rule of generating a Collatz odd sequence, it is immediate. QED

Theorem 2.4:

In a Collatz odd sequence, if  $p$  is a front odd number of  $p$ , (of course,  $p$  is also a back odd number of  $p$ ). Then it is only case that  $p = 1$ .

Proof:

Since  $p$  is a front odd number of  $p$ , there exists a positive integer  $k > 0$ , such that  $3p+1 = 2^k p$ . So  $2^k p - 3p = p(2^k - 3) = 1$ , where  $p$  is odd.

Case 1:  $k = 1$ . Then  $p(2-3) = -p = 1$ , which cannot be true.

Case 2:  $k > 1$ . Then  $2^k - 3$  is a positive integer, and  $p(2^k - 3) = 1$ . This equation can be true, only if  $p = 1$  and  $k = 2$ . QED

**Bijections between odd subsets and sequence sets**

This paragraph gives two classification methods of the odd number set, and gives a classification of sequence sets of the odd number set, and gives a bijection between odd subsets and sequence sets.

The odd number set can be divided into three subsets  $\{4n-1 | n = 1, 2, 3, \dots\}$ ,  $\{4n+1 | n \text{ is 0 or even}\} = \{8n+1 | n = 0, 1, 2, 3, \dots\}$ ,  $\{4n+1 | n \text{ is odd}\}$ . They are disjoint with each other.

Definition 3.1:

Write  $A = \{4n-1 | n = 1, 2, 3, \dots\}$ ,  $B = \{4n+1 | n \text{ is 0 or even}\} = \{8n+1 | n = 0, 1, 2, 3, \dots\}$ ,  $C = \{4n+1 | n \text{ is odd}\}$ .

Definition 3.2:

(1) Write  $S_1 = \{ \{a_n\} | a_1 \in A, \text{ and } a_n = 4a_{n-1} + 1, n = 2, 3, 4, \dots \}$ , where  $\{a_n\}$  is a sequence with the first term  $a_1 \in A$ , and  $a_n = 4a_{n-1} + 1, n = 2, 3, 4, \dots$

(2) Write  $S_2 = \{ \{a_n\} | a_1 \in B, \text{ and } a_n = 4a_{n-1} + 1, n = 2, 3, 4, \dots \}$ , where  $\{a_n\}$  is a sequence with the first term  $a_1 \in B$ , and  $a_n = 4a_{n-1} + 1, n = 2, 3, 4, \dots$

Examples: The first two sequences in  $S_1$  are the following  $\{a_n\}$  and  $\{b_n\}$ , where  $\{a_n\}: 3, 13, 53, \dots, (a_1 = 3)$ ;  $\{b_n\}: 7, 29, 117, \dots, (b_1 = 7)$ .

The first two sequences in  $S_2$  are the following  $\{a_n\}$  and  $\{b_n\}$ , where  $\{a_n\}: 1, 5, 21, 85, \dots, (a_1 = 1)$ ;  $\{b_n\}: 9, 37, 149, \dots, (b_1 = 9)$ .

Theorem 3.1:

All terms (odd numbers) in all sequences of  $S_1$  and  $S_2$  contain all odd numbers. And each odd number must be in the only one of these sequences.

Proof:

Note that all odd numbers can be divided into three subsets A, B, C. Any odd number in A can be the first term  $a_1$  of some definite sequence  $\{a_n\}$  in  $S_1$ . Similarly, any odd number in B can be the first term  $a_1$  of some definite sequence  $\{a_n\}$  in  $S_2$ . So it is enough to prove that all terms of all sequences in  $S_1$  and  $S_2$  contain any odd number with the form  $4n+1$  ( $n$  is odd) in C, and that any odd number in C must be in the only one of these sequences.

Let  $p = 4n_1 + 1 \in C$ , that is any definite odd number in C, where  $n_1$  is a definite odd number. But all odd numbers can be divided into three subsets A, B, C.

Case 1: If  $n_1 \in A$ , then  $n_1$  is the first term  $a_1$  of some definite sequence  $\{a_n\}$  in  $S_1$ . By the construction of the sequence  $\{a_n\}$  in  $S_1$ ,  $p = 4n_1 + 1$  is the second term  $a_2$  of this definite sequence  $\{a_n\}$  in  $S_1$ . Similarly, if  $n_1 \in B$ , then  $p = 4n_1 + 1$  is the second term  $a_2$  of some definite sequence  $\{a_n\}$  in  $S_2$ .

Case 2: If  $n_1 \in C$ , then there exists a definite odd number  $n_2$ , such that  $n_1 = 4n_2 + 1$ . If  $n_2 \in A$  (or  $\in B$ ), then according to Case 1,  $n_1 = 4n_2 + 1$  is the second term  $a_2$  of some definite sequence  $\{a_n\}$  in  $S_1$  (or  $S_2$ ), and  $p = 4n_1 + 1$  is the third term  $a_3$  of this definite sequence  $\{a_n\}$  in  $S_1$  (or  $S_2$ ).

Case 3: If  $n_2 \in C$ , then we are back to the beginning of case 2. Continuing, since  $p = 4n_1 + 1$  is a definite odd number in C, and the odd number  $1 \in B$  and  $3 \in A$ , Case 2 cannot occur indefinitely. So, after finitely many case 2, we can always get some  $n_k$ , so that  $n_{k-1} = 4n_k + 1, n_1 > n_2 > \dots > n_k, n_1, n_2, \dots, n_{k-1} \in C$  and  $n_k \in A$  (or  $\in B$ ), where  $n_k$  is the first term  $a_1$  of some definite sequence  $\{a_n\}$  in  $S_1$  (or  $S_2$ ),  $n_{k-1}$  is the 2nd term  $a_2$  of the sequence  $\dots, n_1$  is the  $k$ th term  $a_k$ , and  $p = 4n_1 + 1$  is the  $k+1$ st term  $a_{k+1}$  of the definite sequence  $\{a_n\}$  in  $S_1$  (or  $S_2$ ). QED

Note that all odd numbers can again be divided into three subsets:  $\{6n-3 | n = 1, 2, 3, \dots\}$ ,  $\{6n-1 | n = 1, 2, 3, \dots\}$ ,  $\{6n+1 | n = 0, 1, 2, 3, \dots\}$ .

Definition 3.3: Write  $D = \{6n-1 | n = 1, 2, 3, \dots\}$ ,  $E = \{6n+1 | n = 0, 1, 2, 3, \dots\}$ , and  $F = \{6n-3 | n = 1, 2, 3, \dots\}$ . Since  $6n-3$  is divisible by 3, an odd number in F is also called a triple odd number.

Lemma 3.2:

The odd number  $4n-1$  in A is a front odd number of the odd number  $6n-1$  in D, or  $6n-1$  is the back odd number of  $4n-1$ , where  $n = 1, 2, 3, \dots$

Proof:

Since  $3(4n-1) + 1 = 2(6n-1)$ , the result holds.

QED

Note that  $B = \{4n+1 | n \text{ is 0 or even}\} = \{8n+1 | n = 0, 1, 2, 3, \dots\}$ .

Lemma 3.3:

The odd number  $8n+1$  in B is a front odd number of the odd number  $6n+1$  in E, or  $6n+1$  is the back odd number of  $8n+1$ , where  $n = 0, 1, 2, 3, \dots$

Proof:

Since  $3(8n+1) + 1 = 2^2(6n+1)$ , the result holds.

QED

Lemma 3.4:

The triple odd number  $6n-3$  in F cannot be a back odd number of any odd number, where  $n = 1, 2, 3, \dots$

Proof:

Suppose that  $6n-3$  is a back odd number of some odd number  $p = 2m - 1$ . Then  $3(2m-1) + 1 = 2^k(6n-3)$  holds for some positive integer  $k$ . At his point, the right side  $2^k(6n-3)$  of the equation is divisible by 3, while the left side  $3(2m-1) + 1$  of the equation is not divisible by 3. Contradiction. QED

Theorem 3.5:

There exists a bijection  $f: f_1(D) = S_1$ , such that for any  $6k-1 \in D, f_1(6k-1) = \{a_n\} = \{a_n | a_1 = 4k-1, a_n = 4a_{n-1} + 1, n = 2, 3, 4, \dots\} \in S_1, k =$

1,2,3,..., where all front numbers of  $6k-1$  are exactly all terms of the sequence  $\{a_n\}$ , and  $3a_n + 1 = 2^{2^n-1}(6k-1)$  ( $n = 1, 2, 3, \dots$ ); conversely, all terms of the sequence  $\{a_n\}$  have the unique back number  $6k-1$ .

Proof:

By Lemma 3.2, for a definite positive integer  $k$ , the first term  $4k-1$  of the sequence  $\{a_n\}$  is a front odd number of the odd number  $6k-1$ . Then by Corollary 2.2, each term of  $\{a_n\}$  is a front odd number of  $6k-1$ , and  $3a_n + 1 = 2^{2^n-1}(6k-1)$  ( $n = 1, 2, 3, \dots$ ). We prove that any front odd numbers of  $6k-1$  is contained in this sequence  $\{a_n\}$ .

If an odd number  $p$  is not in  $\{a_n\}$ , then by theorem 3.1,  $p$  is a term of some other sequence  $\{b_n\}$ . We prove that  $p$  cannot be a front odd number of  $6k-1$ .

Case 1:  $\{b_n\} \in S_1$ . Then the first term of  $\{b_n\}$  is  $b_1$ , and  $b_1 = 4s-1$ , where  $s$  is a definite positive integer with  $s \neq k$ . As above, each term of  $\{b_n\}$  is a front odd number of  $6s-1$ . So,  $p$  is also a front odd number of  $6s-1$ . By Theorem 2.3,  $6s-1$  is the unique back odd number of  $p$ . Since  $s \neq k$ ,  $6k-1$  cannot be a back odd number of  $p$ . In other words,  $p$  cannot be a front odd number of  $6k-1$ .

Case 2:  $\{b_n\} \in S_2$ . Then  $b_1 = 8s+1$ , where  $s$  is 0 or a definite positive integer. Then by Lemma 3.3,  $b_1 = 8s+1$  is a front odd number of  $6s+1$ . Then by Corollary 2.2, each term of  $\{b_n\}$  is a front odd number of  $6s+1$ . So,  $p$  is also a front odd number of  $6s+1$ . Since  $D \cap E = \emptyset$ ,  $6k-1 \in D$  and  $6s+1 \in E$ ,  $6k-1 \neq 6s+1$ . By Theorem 2.3,  $6k-1$  cannot be a back odd number of  $p$ . In other words,  $p$  cannot be a front odd number of  $6k-1$ .

Therefore, any front odd numbers of  $6k-1$  is contained in this sequence  $\{a_n\}$ . So, for any odd number  $6k-1$  in  $D$ ,  $f_1(6k-1) = \{a_n | a_1 = 4k-1, a_n = 4a_{n-1} + 1, n=2, 3, 4, \dots\}$ , where  $k = 1, 2, 3, \dots$

Conversely, by Theorem 2.3, for any sequence  $\{a_n\} = \{a_n | a_1 = 4k-1, a_n = 4a_{n-1} + 1, n = 2, 3, 4, \dots\}$  in  $S_1$ , all terms of the sequence  $\{a_n\}$  have the unique back odd number  $6k-1$ . So,  $f_1^{-1}(\{a_n | a_1 = 4k-1, a_n = 4a_{n-1} + 1, n=2, 3, 4, \dots\}) = 6k-1$ , where  $k = 1, 2, 3, \dots$ .

To sum up, we obtain that  $f_1(D) = S_1$  is a bijection.  
QED

Examples: Take  $k = 1$ ,  $f_1(5) = \{a_n\}: 3, 13, 53, 213, \dots$ . Each term of  $\{a_n\}$  is a front odd number of  $5 \in D$ . Take  $k = 2$ ,  $f_1(11) = \{a_n\}: 7, 29, 117, 469, \dots$ . Each term of  $\{a_n\}$  is a front odd number of  $11 \in D$ . etc.

Theorem 3.6:

There exists a bijection  $f_2: f_2(E) = S_2$ , such that for any  $6k+1 \in E$ ,  $f_2(6k+1) = \{a_n\} = \{a_n | a_1 = 8k+1, a_n = 4a_{n-1} + 1, n=2, 3, 4, \dots\} \in S_2$ ,  $k = 1, 2, 3, \dots$ , where all front numbers of  $6k+1$  are exactly all terms of the sequence  $\{a_n\}$ , and  $3a_n + 1 = 2^{2^n}(6k+1)$  ( $n = 1, 2, 3, \dots$ ); conversely, all terms of the sequence  $\{a_n\}$  have the unique back number  $6k+1$ .

Proof:

Following the method proved in Theorem 3.5, the result holds.  
QED

Examples: Take  $k = 0$ ,  $f_2(1) = \{a_n\}: 1, 5, 21, 85, \dots$ . Each term of  $\{a_n\}$  is a front odd number of  $1 \in E$ . Take  $k = 1$ ,  $f_2(7) = \{a_n\}: 9, 37, 149, 597, \dots$ . Each term of  $\{a_n\}$  is a front odd number of  $7 \in E$ . etc.

Corollary 3.7: There exists a bijection  $f: f(D \cup E) = S_1 \cup S_2$ , where if an odd number  $p \in D$ , then define  $f(p) = f_1(p)$ , and if  $p \in E$ , then define  $f(p) = f_2(p)$ .

Proof:

Since  $D \cap E = \emptyset$ , and  $S_1 \cap S_2 = \emptyset$ , by Theorem 3.5 and Theorem 3.6, it is immediate. QED

**Successful Odd Sequence Set H**

This paragraph gives such an odd sequence set  $H$  that an odd number  $p$  is successful, if and only if  $p$  is in a sequence of  $H$ .

Theorem 4.1:

Let  $p$  be an odd number and  $q = 4p+1$ . Then

- (1) if  $p \in E$ , then  $q \in D$ ;
- (2) if  $p \in D$ , then  $q \in F$ ;
- (3) if  $p \in F$ , then  $q \in E$ .

Proof:

- (1) Since  $p \in E$ , let  $p = 6k+1$ , where  $k$  is 0 or a definite positive integer. Then  $q = 4p+1 = 4(6k+1)+1 = 24k+5 = 6(4k+1)-1$ , so  $q \in D$ .
- (2) Since  $p \in D$ , let  $p = 6k-1$ , where  $k$  is a definite positive integer. Then  $q = 4p+1 = 4(6k-1)+1 = 24k-3 = 6(4k)-3$ , so  $q \in F$ .
- (3) Since  $p \in F$ , let  $p = 6k-3$ , where  $k$  is a definite positive integer. Then  $q = 4p+1 = 4(6k-3)+1 = 24k-11 = 6(4k-2)+1$ , so  $q \in E$ . QED

Remarks: For a sequence  $\{a_n\} \in S_1$ ,  $a_1 = 4k-1 \in A$ . And all odd numbers in  $A$  are  $\{4k-1\}: 3, 7, 11, 15, 19, 23, \dots$ ; where  $3, 15, \dots \in F$ , and  $7, 19, \dots \in E$ , and  $11, 23, \dots \in D$ .

For a sequence  $\{a_n\} \in S_2$ ,  $a_1 = 8k+1 \in B$ . And all odd numbers in  $B$  are  $\{8k+1\}: 1, 9, 17, 25, 33, 41, \dots$ ; where  $9, 33, \dots \in F$ , and  $1, 25, \dots \in E$ , and  $17, 41, \dots \in D$ .

That is, the first term  $a_1$  can be any one in three odd subsets  $D, E, F$ , whether the sequence  $\{a_n\} \in S_1$  or  $\{a_n\} \in S_2$ .

Theorem 4.2:

Let  $\{a_n\} \in S_1$  or  $\{a_n\} \in S_2$ ,

- (1) if  $a_1 \in E$ , then  $a_{3k-2} \in E, a_{3k-1} \in D, a_{3k} \in F$ , where  $k = 1, 2, 3, \dots$ ;
- (2) if  $a_1 \in F$ , then  $a_{3k-2} \in F, a_{3k-1} \in E, a_{3k} \in D$ , where  $k = 1, 2, 3, \dots$ ;
- (3) if  $a_1 \in D$ , then  $a_{3k-2} \in D, a_{3k-1} \in F, a_{3k} \in E$ , where  $k = 1, 2, 3, \dots$

Proof:

This is a direct corollary of Theorem 4.1.

QED

Remark:

According to Theorem 4.2, any term of a sequence  $\{a_n\}$  in  $S_1$  or  $S_2$  can belong to any one in three odd subsets  $D, E, F$ .

Collatz sequences now under discussion are Collatz odd sequences, so the following definition is given.

Definition 4.1:

If  $p_k, p_{k-1}, \dots, p_1, 1$  is a Collatz odd sequence with  $k$  odd numbers other than 1, then we say that  $p_k$  is  $k$  steps successful; and it is specified that the odd number 1 is 0 step successful.

Example: 11, 17, 13, 5, 1 is a Collatz odd sequence given in Preliminaries. Then we have: odd 5 is 1 step successful, 13 is 2 steps successful, 17 is 3 steps successful, and 11 is 4 steps successful. Specially, 1 is 0 step successful.

Corollary 4.3:

If  $p$  is a front odd number of  $q$ ,  $p \neq 1$  and  $q$  is  $k$  steps successful, then  $p$  is  $k+1$  steps successful. Specially,  $p = 1$  is 0 step successful.

Proof:

This is a direct consequence of Definition 4.1.

QED

Note that in the following,  $f_1, f_2$  and  $f$  are the three bijections given in Theorem 3.5, Theorem 3.6 and Corollary 3.7, where  $f(D) = f_1(D) = S_1$ , and  $f(E) = f_2(E) = S_2$ . **For simplicity, if  $R$  is a sequence set, a sequence  $\{a_n\} \in R$ , and  $a_i \in \{a_n\}$  is a term of  $\{a_n\}$ , then write  $a_i \in R$ . If  $a_i \in R$  and  $a_i \in D$ , then write  $a_i \in R \cap D$ , etc.**

In the following, by a recursive method, we construct such a sequence set  $H$  that an odd number  $p$  is successful, if and only if  $p$  is in a sequence of  $H$ .

By Theorem 3.6 and Corollary 3.7, since  $1 \in E$ ,  $f(1) = f_2(1) = \{a_n\}: 1, 5, 21, 85, 341, \dots \in S_2$ .

Write  $H_1 = \{f(1)\} = \{f_2(1)\} = \{\{a_n\}: 1, 5, 21, 85, 341, \dots\}$ , where  $H_1$  is a sequence set, and there is exactly one sequence in  $H_1$ .

In this sequence of  $H_1$ , since  $a_1 = 1 \in E$ , by Theorem 4.2,  $a_{3k+2} \in E, a_{3k+1} \in D, a_{3k} \in F$ , where  $k = 1, 2, 3, \dots$ . By Lemma 3.4,  $a_{3k} (\in F)$  has no front odd number, where  $k = 1, 2, 3, \dots$ . By Theorem 3.5, for a definite  $a_{3k+1} (\in D)$ , there is the unique odd sequence  $\{b_n\} \in S_1$  such that  $f_1(a_{3k+1}) = \{b_n\}$ , where  $k = 1, 2, 3, \dots$ , and each term of  $\{b_n\}$  is a front odd number of  $a_{3k+1}$ . By Theorem 3.6, for a definite  $a_{3k+2} (\in E)$ , there is the unique odd sequence  $\{c_n\} \in S_2$  such that  $f_2(a_{3k+2}) = \{c_n\}$ , where  $k = 1, 2, 3, \dots$ , and each term of  $\{c_n\}$  is a front odd number of  $a_{3k+2}$ .

Write  $H_2 = \{f_1(p) \mid p \in H_1 \cap D\} \cup \{f_2(p) \mid p \in H_1 \cap E \text{ and } p \neq 1\}$ . Note that  $(H_1 \cap D) \cup (H_1 \cap E) = H_1 \cap (D \cup E)$ . By Corollary 3.7,  $H_2 = \{f(p) \mid p \in H_1 \cap (D \cup E) \text{ and } p \neq 1\}$ . (Note that the removal of  $f(1) = f_2(1)$  in  $H_2$  is to prevent the sequence that appeared in  $H_1$  from reappearing in  $H_2$ .)

Write  $H_3 = \{f_1(p) \mid p \in H_2 \cap D\} \cup \{f_2(p) \mid p \in H_2 \cap E\} = \{f(p) \mid p \in H_2 \cap (D \cup E)\}$ .

Assume that the sequence set  $H_n$  has been formed.

Write  $H_{n+1} = \{f(p) \mid p \in H_n \cap (D \cup E)\}$ .

Now the sequence sets  $H_1, H_2, H_3, \dots, H_n, \dots$  have been constructed.

Write  $H = \bigcup_{n=1}^{\infty} H_n$ .

Remark:

To get an intuitive sense of the composition of the set  $H$ , several sequences in  $H_1, H_2$  and  $H_3$  are given here according to Theorem 3.5 and Theorem 3.6.

The unique sequence in  $H_1$  is  $f(1) = f_2(1) = \{a_n\}: 1, 5, 21, 85, 341, 1365, \dots$

Note that  $H_2 = \{f_1(p) \mid p \in H_1 \cap D\} \cup \{f_2(p) \mid p \in H_1 \cap E \text{ and } p \neq 1\}$ . In the sequence  $\{a_n\}$  of  $H_1$ ,  $a_3 = 21, a_6 = 1365, \dots (\in F)$  have no front odd number. Since  $p = 5, 341 \in H_1 \cap D$ , by Theorem 3.5,  $f_1(5) = \{b_n\}: 3, 13, 53, \dots \in H_2, f_1(341) = \{b_n\}: 227, 909, 3637, \dots \in H_2$ . And since  $p = 85 \in H_1 \cap E$  and  $p = 85 \neq 1$ , by Theorem 3.6,  $f_2(85) = \{c_n\}: 113, 453, 1813, \dots \in H_2$ . And so on.

And see  $H_3, H_3 = \{f_1(p) \mid p \in H_2 \cap D\} \cup \{f_2(p) \mid p \in H_2 \cap E\}$ . Because  $f_1(5) = \{b_n\}: 3, 13, 53, \dots \in H_2$ , where  $3 (\in F)$  has no front odd number, 13

$\in H_2 \cap E$ , and  $53 \in H_2 \cap D$ , so, by Theorem 3.6,  $f_2(13) = \{c_n\}: 17, 69, 277, \dots \in H_3$ , and by Theorem 3.5,  $f_1(53) = \{c_n\}: 35, 141, 565, \dots \in H_3$ . Because  $f_1(341) = \{b_n\}: 227, 909, 3637, \dots \in H_2$ , where  $909 (\in F)$  has no front odd number,  $227 \in H_2 \cap D$ , and  $3637 \in H_2 \cap E$ , so, by Theorem 3.5,  $f_1(227) = \{c_n\}: 151, 605, 2401, \dots \in H_3$ , and by Theorem 3.6,  $f_2(3637) = \{c_n\}: 4849, 19397, 77589, \dots \in H_3$ . Because  $f_2(85) = \{b_n\}: 113, 453, 1813, \dots \in H_2$ , where  $453 (\in F)$  has no front odd number,  $113 \in H_2 \cap D$ , and  $1813 \in H_2 \cap E$ , so, by Theorem 3.5,  $f_1(113) = \{c_n\}: 75, 301, 1205, \dots \in H_3$ , and by Theorem 3.6,  $f_2(1813) = \{c_n\}: 2417, 9669, 38677, \dots \in H_3$ . And so on.

Theorem 4.4:

- (1) There are no identical sequences in all sequences of  $H = \bigcup_{n=1}^{\infty} H_n$ . And no two different sequences in them have the same term.
- (2) All terms of any sequence in  $H_n$  are  $n$  steps successful, where  $n = 1, 2, 3, \dots$ , except for the odd number 1 in  $H_1$ .
- (3) If an odd number  $p$  is successful, then  $p$  must be in some sequence of the sequence set  $H$ .

Proof:

(1) Induction. Because  $H_1 = \{f(1)\}$  and  $H_2 = \{f(p) \mid p \in H_1 \cap (D \cup E) \text{ and } p \neq 1\}$ , so  $H_1 \cup H_2 = \{f(p) \mid p \in H_1 \cap (D \cup E)\}$ .

Because  $H_1 = \{f(1)\}$  is a sequence set =  $\{\{a_n\}: 1, 5, 21, 85, 341, 1365, \dots\}$ , and it has not the same term, so, there is no same odd number in  $H_1 \cap (D \cup E)$ . Since  $f$  is a bijection, all sequences in  $H_1 \cup H_2$  are not identical to each other. Then since  $H_1 \cup H_2 \subset S_1 \cup S_2$ , by Theorem 3.1, no two different sequences in  $H_1 \cup H_2$  have the same term.

Consider  $H_3 = \{f(p) \mid p \in H_2 \cap (D \cup E)\}$ . Then  $H_1 \cup H_2 \cup H_3 = \{f(p) \mid p \in H_1 \cap (D \cup E) \cup \{f(p) \mid p \in H_2 \cap (D \cup E)\}\} \cap (D \cup E)$ . Since no two different sequences in  $H_1 \cup H_2$  have the same term, there is not the same odd number in  $(H_1 \cup H_2) \cap (D \cup E)$ . Since  $f$  is a bijection, all sequences in  $H_1 \cup H_2 \cup H_3$  are not identical to each other. By Theorem 3.1, no two different sequences in  $H_1 \cup H_2 \cup H_3$  have the same term.

Suppose all sequences in  $\bigcup_{k=1}^n H_k$  are different from each other, and no two different sequences have the same term.

Consider  $H_{n+1} = \{f(p) \mid p \in H_n \cap (D \cup E)\}$ . Then  $\bigcup_{k=1}^{n+1} H_k = \{f(p) \mid p \in H_1 \cap (D \cup E) \cup \{f(p) \mid p \in H_2 \cap (D \cup E)\} \cup \dots \cup \{f(p) \mid p \in H_n \cap (D \cup E)\}\} \cap (D \cup E)$ . By the inductive assumption, no two different sequences in  $\bigcup_{k=1}^n H_k$  have the same term. So, there is no same odd number in  $(\bigcup_{k=1}^n H_k) \cap (D \cup E)$ . Since  $f$  is a bijection, all sequences in  $\bigcup_{k=1}^{n+1} H_k$  are not identical to each other. By Theorem 3.1, no two different sequences in  $\bigcup_{k=1}^{n+1} H_k$  have the same term.

(2) Induction. First of all, all terms of the sequence in  $H_1$  are the front odd numbers of the odd number 1. By Definition 4.1, all terms of the sequence in  $H_1$  are 1 step successful, except for the odd number 1.

Consider any sequence  $\{a_n\}$  in  $H_2$ . Then  $H_2 = \{f(p) \mid p \in H_1 \cap (D \cup E) \text{ and } p \neq 1\}$ . So, there is a definite odd number  $q$ , such that  $q \in H_1 \cap (D \cup E), q \neq 1$ , and  $f(q) = \{a_n\}$ . Note that all terms of  $\{a_n\}$  are all front numbers of  $q$ , and  $q \in H_1, q \neq 1$  is 1 step successful. By Corollary 4.3, all terms of  $\{a_n\}$  are 2 steps successful. Therefore, all terms of any sequence in  $H_2$  are 2 steps successful.

Assume that all terms of any sequence in  $H_n$  are  $n$  steps successful,  $n \geq 2$ .

Consider any sequence  $\{b_n\}$  in  $H_{n+1}$ . Then  $H_{n+1} = \{f(p) \mid p \in H_n \cap (D \cup E)\}$ . So, there is a definite odd number  $q$ , such that  $q \in H_n \cap (D \cup E)$ , and  $f(q) = \{b_n\}$ . By the inductive assumption,  $q \in H_n$  is  $n$  steps successful. Note that all terms of  $\{b_n\}$  are all front numbers of  $q$ . By Corollary 4.3, all terms of  $\{b_n\}$  are  $n+1$  steps successful. Therefore, all terms of any sequence in  $H_{n+1}$  are  $n+1$  steps successful.

(3) Firstly, the odd number  $p = 1$  is 0 step successful,  $1 \in H_1$ . Now let  $p \neq 1$ . Since the odd number  $p$  is successful, there must exist some positive integer  $n$ , such that  $p$  is  $n$  steps successful. It is enough to prove that  $p$  must be in some sequence of  $H_n$ .

Induction. Look at  $n = 1$ . Let  $p$  be 1 step successful. Then  $p \neq 1$ . And let Collatz odd sequence of  $p$  be  $p, p_1, 1$ . Then  $p$  is a front odd number of 1. Note that  $H_1 = \{f(1)\} = \{a_n\} = \{1, 5, 21, 85, 341, \dots\}$ , and  $f$  is a bijection, so, all front odd numbers of 1 belong to  $H_1$ . So,  $p \in H_1$ .

Look at  $n = 2$ . Let  $p$  be 2 steps successful. And let Collatz odd sequence of  $p$  be  $p, p_1, 1$ . Then the odd number  $p_1$  is 1 step successful, and  $p_1 \neq 1$ . As above,  $p_1 \in H_1$  and  $p_1 \neq 1$ . Because  $p_1$  has the front odd number  $p$ , so  $p_1 \in D \cup E$ , and  $p_1 \in H_1 \cap (D \cup E)$  and  $p_1 \neq 1$ . Note that  $H_2 = \{f(q) \mid q \in H_1 \cap (D \cup E) \text{ and } q \neq 1\}$ , then  $f(p_1) \in H_2$ , where  $f(p_1)$  is a sequence of  $H_2$ . And  $f(p_1)$  contain all front odd numbers of  $p_1$ , and  $p$  is a front odd number of  $p_1$ . So,  $p \in f(p_1) \in H_2$ .

Assume that the result holds for  $n = k$ , that is, if the odd number  $p$  is  $k$  steps successful, then  $p \in H_k$ .

Now let  $n = k+1$ , that is, the odd number  $p$  is  $k+1$  steps successful. And let Collatz odd sequence of the odd number  $p$  be  $p, p_k, p_{k-1}, \dots, p_1, 1$ . Then the odd number  $p_k$  is  $k$  steps successful. By inductive assumption,  $p_k \in H_k$ . Because  $p_k$  has the front odd number  $p$ , so  $p_k \in D \cup E$ , and  $p_k \in H_k \cap (D \cup E)$ . Note that  $H_{k+1} = \{f(q) \mid q \in H_k \cap (D \cup E)\}$ , then  $f(p_k) \in H_{k+1}$ , where  $f(p_k)$  is a sequence of  $H_{k+1}$ . And  $f(p_k)$  contain all front odd numbers of  $p_k$ , and  $p$  is a front odd number of  $p_k$ . So,  $p \in f(p_k) \in H_{k+1}$ .

**Possibility of not successful odd sequence set**

Remark:

From Theorem 4.4, it follows that every term of every sequence in  $H = \bigcup_{n=1}^{\infty} H_n$  is successful, and every odd number that is successful must be in one of these sequences of  $H$ . Therefore, to prove that all odd numbers are successful, it is sufficient to show that every odd number is in one of these sequences of  $H$ .

Lemma 5.1:

- (1) If  $p$  is a front odd number of  $q$ , and the odd number  $q$  is not successful, then the odd number  $p$  is also not successful.
- (2) If  $r$  is a back odd number of  $q$ , and the odd number  $q$  is not successful, then the odd number  $r$  is also not successful.

Proof:

- (1) First, since  $q$  is not successful,  $q \neq 1$ . Assume that the odd number  $p$  is successful, then there exists a positive integer  $k$ , such that  $p$  is  $k$  steps successful. And by Theorem 2.3,  $q$  is the unique back odd number of  $p$ . Then, by Definition 4.1,  $k > 1$ , and  $q$  is  $k-1$  steps successful. Contradiction.
- (2) Assume that the odd number  $r$  is successful, then there exists a positive integer  $m$ , such that  $r$  is  $m$  steps successful,

so  $q$  is  $m+1$  steps successful. Contradiction.  
QED

By Theorem 3.1, any odd number is a term of only one sequence in  $S_1 \cup S_2$ .

Lemma 5.2:

If an odd number  $p$  is not successful, and  $p$  is a term of some sequence  $\{a_n\}$  in  $S_1 \cup S_2$ , then all terms of  $\{a_n\}$  are not successful.

Proof:

From Theorem 4.4, it follows that all odd numbers in the sequence set  $H$  are successful. Since the odd number  $p$  is not successful,  $p$  is not in  $H$ . And the odd number  $p$  is a term in some sequence  $\{a_n\}$ . Since  $H$  is the sequence set, that sequence  $\{a_n\}$  is also not in  $H$ . In the other words, all terms of  $\{a_n\}$  are not in  $H$ . By Theorem 4.4, all terms of  $\{a_n\}$  are not successful. QED

Remarks:

(1) In a Collatz odd sequence, if there is a situation like  $q_1, q_2, \dots, q_k, q_1$ , where  $q_1, q_2, \dots, q_k$  are different from each other, and the odd number  $q_1$  recurring, then we call these  $k$  odd numbers to form a  $k$ -cycle. In fact, it is impossible to have such a  $k$ -cycle in a successful Collatz odd sequence. Because there must be a positive integer  $s$  for a successful odd number  $q_1$ , so that  $q_1$  is  $s$  steps successful, so, there is a Collatz odd sequence  $q_1, p_{s-1}, p_{s-2}, \dots, p_1, 1$ . Assume  $q_1$  is in a  $k$ -cycle  $q_1, q_2, \dots, q_k, q_1$ . Then  $q_1$  has a Collatz odd sequence  $q_1, q_2, \dots, q_r, p_r, p_{r-1}, \dots, p_1, 1$ , where  $q_2 = p_{r-1}, \dots, q_r = p_{r+1}, 1 \leq r \leq k$ ,  $r$  is a definite integer. Then  $q_1$  has 2 back odd numbers  $q_{r+1}$  and  $p_r$ , where if  $r = k$ , then  $q_{r+1} = q_{k+1} = q_1$ , and if  $r = 1$ , then  $p_r = p_{s-1}$ . By Theorem 2.3, this is impossible.

(2) Assuming that  $p_1$  is not successful, and  $p_1$  is in a  $k$ -cycle  $p_1, p_2, \dots, p_k, p_1$ . Then by Theorem 2.3, Collatz odd sequence of these  $k$  odd numbers is the  $k$ -cycle, and any one of these  $k$  odd numbers can not appear in other  $j$ -cycle. And these  $k$  odd numbers are not successful.

(3) Let  $p$  be a front odd number of  $q$ . Then there exists a positive integer  $i$ , such that  $3p+1 = 2^i q$ . If  $i = 1$ , then  $q = (3p+1)/2 > p$ . If  $i \geq 2$ , then  $q = (3p+1)/2^i < p$ . Note that any odd number is a term of some sequence in  $S_1 \cup S_2$ . By Theorem 3.5, for any sequence  $\{a_n\} = \{a_n \mid a_n = 4k-1, a_n = 4a_{n-1} + 1, n=2,3,4, \dots\} \in S_1, 3a_n + 1 = 2^{2n-1}(6k-1)$  ( $n = 1, 2, 3, \dots$ ), where any term of  $\{a_n\}$  is a front odd number of  $6k-1$ . And when  $n=1$ , that is,  $i = 2n-1 = 1, 6k-1 > a_1$ . When  $n \geq 2$ , that is,  $i = 2n-1 \geq 3, 6k-1 < a_n$ . And by Theorem 3.6, for any sequence  $\{a_n\} = \{a_n \mid a_n = 8k+1, a_n = 4a_{n-1} + 1, n=2,3,4, \dots\} \in S_2, 3a_n + 1 = 2^{2n}(6k+1)$  ( $n = 1, 2, 3, \dots$ ), where any term of  $\{a_n\}$  is a front odd number of  $6k+1$ . When  $n = 1, 2, 3, \dots$ , that is,  $i = 2n \geq 2, 6k+1 < a_n$ .

Lemma 5.3:

- (1) There are no 2-cycles in Collatz odd sequences.
- (2) There are no 3-cycles in Collatz odd sequences.

Proof:

(1) Suppose that there is a 2-cycle  $q_1, q_2, q_1$  in Collatz odd sequences. Let  $q_1 < q_2$ . Because  $q_1$  is a front odd number of  $q_2$ , so there is a positive integer  $i$ , such that  $3q_1+1 = 2^i q_2$ . By Remark(3) above,  $i = 1$ , that is,  $3q_1+1 = 2q_2$ . And because  $q_2$  is a front odd number of  $q_1$ , so there is a positive integer  $j$ , such that  $3q_2+1 = 2^j q_1$ , i.e.,  $(3q_2+1)/2^j = q_1$ . By Remark(3) above, since  $q_2 > q_1, j \geq 2$ . But  $(3q_1+1)/2 = q_2$ . When  $j = 2, (3q_2+1)/2^2 = (3((3q_1+1)/2)+1)/2^2 = (9q_1+5)/8 > q_1$ . When  $j \geq 3, (3q_2+1)/2^j \leq (3((3q_1+1)/2)+1)/2^3 = (9q_1+5)/16 < q_1$ . That is to say,  $(3q_2+1)/2^j \neq q_1$ . So, there are no 2-cycles in Collatz odd sequences.

(2) And suppose that there is a 3-cycle  $q_1, q_2, q_3, q_1$  in Collatz odd sequences. Let  $q_1 < q_2$  and  $q_1 < q_3$ . The following are divided into two cases.

Case 1 :

$q_2 > q_3$ . Since  $q_1 < q_2$ , and  $q_1$  is a front odd number of  $q_2$ , by Remark(3) above,  $3q_1+1 = 2q_2$ , i.e.,  $(3q_1+1)/2 = q_2$ . Since  $q_2 > q_3$ , and  $q_2$  is a front odd number of  $q_3$ ,  $3q_2+1 = 2^i q_3$ , i.e.,  $(3q_2+1)/2^i = q_3$ , where  $i \geq 2$ . Since  $q_3 > q_1$ , and  $q_3$  is a front odd number of  $q_1$ ,  $3q_3+1 = 2^j q_1$ , i.e.,  $(3q_3+1)/2^j = q_1$ , where  $j \geq 2$ . But  $(3q_3+1)/2^j \leq (3q_3+1)/2^2 = 3((3q_2+1)/2^i + 1)/2^2 \leq 3((3q_2+1)/2^i + 1)/2^2 = (9q_2+7)/16 = (9((3q_1+1)/2 + 7)/16 = (27q_1+23)/32 < q_1$ . (Note that because small odd numbers must be successful, and odd numbers in  $k$ -cycle are all not successful, so, we can set  $q_1 > 99$ .) That is to say,  $(3q_3+1)/2^j \neq q_1$ . Contradiction.

Case 2 :

$q_2 < q_3$ . Since  $q_1 < q_2$ , and  $q_1$  is a front odd number of  $q_2$ , by Remark(3) above,  $3q_1+1 = 2q_2$ , i.e.,  $(3q_1+1)/2 = q_2$ . Since  $q_2 < q_3$ , and  $q_2$  is a front odd number of  $q_3$ ,  $3q_2+1 = 2q_3$ , i.e.,  $(3q_2+1)/2 = q_3$ . Since  $q_3 > q_1$ , and  $q_3$  is a front odd number of  $q_1$ ,  $3q_3+1 = 2^i q_1$ , i.e.,  $(3q_3+1)/2^i = q_1$ , where  $i \geq 2$ . But  $(3q_3+1)/2^i = 3((3q_2+1)/2 + 1)/2^i = (9q_2+5)/2^{i+1} = (9((3q_1+1)/2 + 5)/2^{i+1} = (27q_1+19)/2^{i+2}$ , where  $i \geq 2$ . And when  $i = 2$ ,  $(3q_3+1)/2^i = (27q_1+19)/2^{i+2} = (27q_1+19)/16 > q_1$ , when  $i \geq 3$ ,  $(3q_3+1)/2^i = (27q_1+19)/2^{i+2} \leq (27q_1+19)/32 < q_1$ , where set  $q_1 > 99$ . That is to say,  $(3q_3+1)/2^i \neq q_1$ . Contradiction.

So, there are no 3-cycles in Collatz odd sequences.

QED

Remark:

The same method can be used to prove that there are no 4-cycles, 5-cycles, etc. But there are too many cases to consider, and it will not go on. Later, in Theorem 5.5, we will prove that the  $k$ -cycle does not exist.

Remark:

Suppose that an odd number  $q_1$  is not successful. Then a Collatz odd sequence  $q_1, q_2, \dots, q_n, \dots$  can be obtained, where  $q_{i+1}$  is the unique back odd number of  $q_i$ ,  $i = 1, 2, 3, \dots$ . By Lemma 5.1, each odd number in the Collatz odd sequence is not successful. In this point, there exist two cases for the Collatz odd sequence  $q_1, q_2, \dots, q_n, \dots$

Case 1:

All odd numbers of the Collatz odd sequence  $q_1, q_2, \dots, q_n, \dots$  are different from each other.

Case 2:

$q_1, q_2, \dots, q_k, q_{k+1}, q_{k+2}$  are different from each other, and  $q_{k+3}$  is an odd number in  $q_1, q_2, \dots, q_{k+2}$ , such as,  $q_{k+3} = q_3$ . Then  $q_3, q_4, \dots, q_{k+2}, q_3$  is a  $k$ -cycle,  $k \geq 4$ . ( $k \geq 4$  is because the non-existence of 2-cycle and 3-cycle has been proved in Lemma 5.3.)

And the Collatz odd sequence  $q_1, q_2, \dots, q_n, \dots$  is changed to  $q_1, q_2, q_3, \dots, q_k, q_{k+1}, q_{k+2}, q_3, \dots, q_k, q_{k+1}, q_{k+2}, q_3, \dots$ , by Theorem 2.3, here this  $k$ -cycle keeps appearing repeatedly. For convenience, in the following, the Collatz odd sequence has been replaced with  $r_1, r_2, q_1, q_2, q_3, \dots, q_k, q_1, q_2, q_3, \dots, q_k, q_1, \dots$

Now, As with the construction of the sequence set  $H$ , from not successful Collatz odd sequence  $q_1, q_2, \dots, q_n, \dots$ , the following series  $G_n$  of sequence sets is constructed. In the above Case 2,  $q_1, q_2, \dots, q_n, \dots$  has been replaced with  $q_1, q_2, q_3, \dots, q_k, q_1, q_2, q_3, \dots, q_k, q_1, \dots$

The following construction is unified for Case 1 and Case 2. In the construction of  $G_n$ , for Case 2, when  $n = ik + m$ , let  $q_n = q_m$ , where  $i = 0, 1, 2, \dots, m = 1, 2, \dots, k$ .

Firstly, we construct a sequence set  $G_1$ .

Note that each odd number in the Collatz odd sequence  $q_1, q_2, \dots, q_n, \dots$  is not successful, and  $q_2$  is the unique back odd number of  $q_1$ .

Write  $G_{11} = \{f(q_2)\} = \{\{a_n\}\}$ , where  $\{a_n\} \in S_1 \cup S_2$ , all terms of  $\{a_n\}$  are all front odd numbers of  $q_2$ , and the odd number  $q_1$  is a term of  $\{a_n\}$ .

Write  $G_{12} = \{f(p) \mid p \in G_{11} \cap (D \cup E)\}$ .

Write  $G_{13} = \{f(p) \mid p \in G_{12} \cap (D \cup E)\}$ .

Assume that the sequence set  $G_{1n}$  has been formed.

Write  $G_{1,n+1} = \{f(p) \mid p \in G_{1n} \cap (D \cup E)\}$ .

Denote  $G_1 = \bigcup_{n=1}^{\infty} G_{1n}$ .

And construct a sequence set  $G_2$ .

Note that  $q_3$  is the unique back odd number of  $q_2$ .

Write  $G_{21} = \{f(q_3)\} = \{\{b_n\}\}$ , where the odd number  $q_2$  is a term of  $\{b_n\}$ .

Write  $G_{22} = \{f(p) \mid p \in G_{21} \cap (D \cup E)\}$ .

Write  $G_{23} = \{f(p) \mid p \in G_{22} \cap (D \cup E)\}$ .

Assume that the sequence set  $G_{2n}$  has been formed.

Write  $G_{2,n+1} = \{f(p) \mid p \in G_{2n} \cap (D \cup E)\}$ .

Denote  $G_2 = \bigcup_{n=1}^{\infty} G_{2n}$ .

So on and so forth.

Suppose again that  $G_n = \bigcup_{k=1}^{\infty} G_{nk}$  has been constructed. In the construction of  $G_n$ , for Case 2, when  $n = ik + m$ , let  $q_n = q_m$ , where  $i = 0, 1, 2, \dots, m = 1, 2, \dots, k$ .

At this point,  $G_{n1}$  has only one sequence, denoted  $\{c_n\}$ , and  $q_n \in \{c_n\}$ .  $q_{n+1}$  is the unique back odd number of  $q_n$ .

Write  $G_{n+1,1} = \{f(q_{n+2})\} = \{\{d_n\}\}$ , where the odd number  $q_{n+1}$  is a term of  $\{d_n\}$ .

Write  $G_{n+1,2} = \{f(p) \mid p \in G_{n+1,1} \cap (D \cup E)\}$ .

Write  $G_{n+1,3} = \{f(p) \mid p \in G_{n+1,2} \cap (D \cup E)\}$ .

Assume that the sequence set  $G_{n+1,k}$  has been formed.

Write  $G_{n+1,k+1} = \{f(p) \mid p \in G_{n+1,k} \cap (D \cup E)\}$ .

Denote  $G_{n+1} = \bigcup_{k=1}^{\infty} G_{n+1,k}$ .

Now  $G_n$  has been constructed recursively, where  $n = 1, 2, 3, \dots$

Remark:

For  $r_1$  and  $r_2$  mentioned in Case 2, because  $r_2$  is a front odd number of  $q_1$ , and  $r_1$  is a front odd number of  $r_2$ , and  $q_1 \in G_{11}$ , so,  $r_2 \in f(q_1) \in G_{12}$  and  $r_1 \in f(r_2) \in G_{13}$ . In other words,  $r_1$  and  $r_2$  are all in  $G_1$ .

The following Theorem 5.4 is valid for both Case 1 and Case 2.

Theorem 5.4:

- (1) Any term of all sequences in the set  $G_n$  is not successful, where  $n = 1, 2, 3, \dots$
- (2)  $G_1 \subset G_2 \subset \dots \subset G_n \subset \dots$ , where  $G_i$  is a proper subset of  $G_{i+1}$ ,  $i = 1, 2, 3, \dots$

Proof:

(1) Induction for  $G_{nk}$ , where  $n = 1, 2, 3, \dots$ . Firstly, consider  $G_{n1}$ . Since  $q_n$  is not successful, and  $q_n \in \{c_n\}$  (see  $G_{n1}$ ) by Lemma 5.2, any term of the unique sequence  $\{c_n\}$  in  $G_{n1}$  is not successful. The result holds for  $k = 1$ .

Consider  $G_{n2} = \{f(p) \mid p \in G_{n1} \cap (D \cup E)\}$ . Let  $f(q) = \{b_n\} (\in S_1 \cup S_2)$  be any sequence in  $G_{n2}$ . Then  $q \in G_{n1} \cap (D \cup E)$ , and all term of  $\{b_n\}$  are all front odd numbers of  $q$ . Since  $q \in G_{n1}$ ,  $q$  is not successful. And by Lemma 5.1(1), all term of  $\{b_n\}$  are not successful. So the result holds when  $k = 2$ .

Assume that the result holds for  $k = i$ , that is, any term of any sequence in  $G_{ni}$  is not successful.

Consider  $G_{n,i+1} = \{f(p) \mid p \in G_{ni} \cap (D \cup E)\}$ . Let  $f(q) = \{d_n\} (\in S_1 \cup S_2)$  be any sequence in  $G_{n,i+1}$ . Then  $q \in G_{ni} \cap (D \cup E)$ , and all term of  $\{d_n\}$  are all front odd numbers of  $q$ . Since  $q \in G_{ni}$ , by inductive assumption,  $q$  is not successful. And by Lemma 5.1(1), all term of  $\{d_n\}$  are not successful. So the result holds when  $k = i+1$ .

(2) First prove that  $G_1 \subset G_2$ . Look back at the construction of  $G_2$ . Note that  $G_{11} = \{f(q_2)\} = \{\{a_n\}\}$ , where  $\{a_n\} \in S_1 \cup S_2$ , and the odd number  $q_1$  is a term of  $\{a_n\}$ .  $G_{21} = \{f(q_3)\} = \{\{b_n\}\}$ , where  $\{b_n\} \in S_1 \cup S_2$ , and the odd number  $q_2$  is a term of  $\{b_n\}$ .  $G_{22} = \{f(p) \mid p \in G_{21} \cap (D \cup E)\}$ . Since  $q_2 \in \{b_n\} \in G_{21}$ , and  $f(q_2) = \{a_n\}$ , (any term of  $\{a_n\}$  is a front odd numbers of  $q_2$ ).  $q_2 \in G_{21} \cap (D \cup E)$ . Thus  $f(q_2) \in G_{22}$ , i.e.,  $G_{11} \subset G_{22}$ . Because  $G_{12} = \{f(p) \mid p \in G_{11} \cap (D \cup E)\}$ , and  $G_{23} = \{f(p) \mid p \in G_{22} \cap (D \cup E)\}$ , and  $(G_{11} \cap (D \cup E)) \subset (G_{22} \cap (D \cup E))$ , so,  $G_{12} \subset G_{23}$ . By analogy, it follows that  $G_{13} \subset G_{24}, \dots, G_{1n} \subset G_{2,n+1}$ , etc.

Since  $G_1 = G_{11} \cup G_{12} \cup \dots \cup G_{1n} \cup \dots$   
 $G_2 = G_{21} \cup G_{22} \cup \dots \cup G_{2n} \cup G_{2,n+1} \cup \dots$   
 So  $G_1 \subset G_2$ . Obviously,  $G_1$  is a proper subset of  $G_2$ . By analogy,  $G_2 \subset G_3 \subset \dots \subset G_n \subset \dots$  QED

Theorem 5.5:

Case 2 cannot exist, that is, there are no  $k$ -cycles in not successful Collatz odd sequence.

Proof:

Firstly, for Case 2, by Theorem 5.4,  $G_1 \subset G_2 \subset \dots \subset G_{k+1}$ , where  $G_i$  is a proper subset of  $G_{i+1}, i = 1, 2, \dots, k$ . So,  $G_1$  is a proper subset of  $G_{k+1}$ .

On the other hand, consider the constructions of  $G_{k+1}$  and  $G_1$ . Note that  $G_{k+1,1} = \{f(q_{k+2})\}$ , where  $q_{k+2} = q_2$ . So,  $G_{k+1,1} = \{f(q_2)\}$ . But  $G_{11} = \{f(q_2)\}$ , so,  $G_{k+1,1} = G_{11}$ . And because  $G_{k+1,2} = \{f(p) \mid p \in G_{k+1,1} \cap (D \cup E)\} = \{f(p) \mid p \in G_{11} \cap (D \cup E)\}$ , and  $G_{12} = \{f(p) \mid p \in G_{11} \cap (D \cup E)\}$ , so,  $G_{k+1,2} = G_{12}$ . By analogy,  $G_{k+1,i} = G_{1i}$ , where  $i = 3, 4, 5, \dots$ . Thus,  $G_1 = \bigcup_{n=1}^{\infty} G_{1n} = \bigcup_{n=1}^{\infty} G_{k+1,n} = G_{k+1}$ . Contradiction. Case 2 cannot exist.

Next, we just need to discuss Case 1. Consider  $G_1$  first.

Theorem 5.6:

If  $q_1, q_2, \dots, q_n, \dots$  is the Collatz odd sequence in the construction of  $G_n$ , where all odd numbers of the Collatz odd sequence are different from each other, then

- (1)  $q_2 \notin G_{1i}$ , where  $i = 1, 2, 3, \dots$
- (2) There are no identical sequences in the sequence set  $\bigcup_{i=1}^{\infty} G_{1i}$ , and no two different sequences in  $\bigcup_{i=1}^{\infty} G_{1i}$  have the same term.

Proof:

- (1) Firstly,  $G_{11} = \{f(q_2)\} = \{\{a_n\}\}$ , where all terms of  $\{a_n\}$  are all front odd numbers of  $q_2$ . Because  $q_2$  is not successful, so,  $q_2 \neq 1$ , and by Theorem 2.4,  $q_2 \notin G_{11}$ . Suppose that  $q_2$  is a term of some sequence  $\{d_n\}$  in some  $G_{1i}$ , where  $i \geq 2$  is a definite integer. Since  $G_{1i} = \{f(p) \mid p \in G_{1,i-1} \cap (D \cup E)\}$ , there exists a definite odd number  $s \in G_{1,i-1} \cap (D \cup E)$ , such that  $f(s) = \{d_n\}$ , and all terms of  $\{d_n\}$  are all front odd numbers of  $s$ . But  $q_3$  is the unique back odd number of  $q_2 (\in \{d_n\})$ , so,  $q_3 = s \in G_{1,i-1}$ . By

analogy,  $q_4 \in G_{1,i-2}, \dots, q_i \in G_{12}, q_{i+1} \in G_{11}$ . But  $G_{11} = \{f(q_2)\} = \{\{a_n\}\}$ , where all terms of  $\{a_n\}$  are all front odd numbers of  $q_2$ . So,  $q_2$  is a back odd number of  $q_{i+1} (\in \{a_n\})$ . Then  $q_{i+1}$  has two back odd number  $q_2, q_{i+2} (\neq q_2)$ , where  $i \geq 2$ . By Theorem 2.3, this is impossible.

- (2) Induction. First prove that the result holds for  $G_{11} \cup G_{12}$ . Note that  $G_{11} = \{f(q_2)\} = \{\{a_n\}\} = \{f(p) \mid p \in \{q_2\} \cap (D \cup E)\}$ , and  $G_{12} = \{f(p) \mid p \in G_{11} \cap (D \cup E)\}$ . So,  $G_{11} \cup G_{12} = \{f(p) \mid p \in (\{q_2\} \cup G_{11}) \cap (D \cup E)\}$ . By (1),  $q_2 \notin G_{11}$ . And  $(\{q_2\} \cup G_{11}) \cap (D \cup E)$  has not the same odd number. Because  $f$  is a bijection, so, there are no identical sequences in the sequence set  $G_{11} \cup G_{12}$ . Then since  $G_{11} \cup G_{12} \subset S_1 \cup S_2$ , by Theorem 3.1, no two different sequences in  $G_{11} \cup G_{12}$  have the same term.

Consider  $G_{13} = \{f(p) \mid p \in G_{12} \cap (D \cup E)\}$ . Then  $\bigcup_{i=1}^3 G_{1i} = \{f(p) \mid p \in ((\{q_2\} \cup G_{11}) \cap (D \cup E)) \cup \{f(p) \mid p \in G_{12} \cap (D \cup E)\} = \{f(p) \mid p \in ((\{q_2\} \cup G_{11} \cup G_{12}) \cap (D \cup E))\}$ . By (1),  $q_2 \notin G_{11} \cup G_{12}$ . And because there is no same odd number in  $G_{11} \cup G_{12}$ , so, there is no same odd number in  $(\{q_2\} \cup G_{11} \cup G_{12}) \cap (D \cup E)$ . Because  $f$  is a bijection, so, there are no identical sequences in the sequence set  $\bigcup_{i=1}^3 G_{1i}$ . Then by Theorem 3.1, no two different sequences in  $\bigcup_{i=1}^3 G_{1i}$  have the same term.

Assume there are no identical sequences in the sequence set  $\bigcup_{i=1}^n G_{1i}$ , and no two different sequences in  $\bigcup_{i=1}^n G_{1i}$  have the same term, i.e., there is no same odd number in  $\bigcup_{i=1}^n G_{1i}$ .

Note that  $\bigcup_{i=1}^{n+1} G_{1i} = \{f(p) \mid p \in ((\{q_2\} \cup (\bigcup_{i=1}^n G_{1i})) \cap (D \cup E))\}$ . By (1),  $q_2 \notin \bigcup_{i=1}^n G_{1i}$ . By the inductive assumption, there is no same odd number in  $\bigcup_{i=1}^n G_{1i}$ . So, there is no same odd number in  $(\{q_2\} \cup (\bigcup_{i=1}^n G_{1i})) \cap (D \cup E)$ . Because  $f$  is a bijection, so, there are no identical sequences in the sequence set  $\bigcup_{i=1}^{n+1} G_{1i}$ . Then by Theorem 3.1, no two different sequences in  $\bigcup_{i=1}^{n+1} G_{1i}$  have the same term. QED

The same method can be used to prove the following Theorem 5.7.

Theorem 5.7:

If  $q_1, q_2, \dots, q_n, \dots$  is the Collatz odd sequence in the construction of  $G_n$ , where all odd numbers of the Collatz odd sequence are different from each other, then for  $n = 2, 3, 4, \dots$ ,

- (1)  $q_{n+1} \notin G_{ni}$ , where  $i = 1, 2, 3, \dots$
- (2) There are no identical sequences in the sequence set  $\bigcup_{i=1}^{\infty} G_{ni}$ , and no two different sequences in  $\bigcup_{i=1}^{\infty} G_{ni}$  have the same term. QED

RESULTS

In order to make a comparison between the sequence sets  $H$  and  $G_n$ , another construction method of  $H$  is introduced here.

Let the odd number  $q_1$  be  $k$  steps successful. And let  $q_1, q_2, \dots, q_k, 1$  (here set  $q_{k+1} = 1$ ) be the Collatz odd sequence.

Next the following series  $T_i$  of sequence sets is constructed, where  $i = 1, 2, \dots, k$ .

Firstly, we construct a sequence set  $T_1$ .

Note that each odd number in the Collatz odd sequence  $q_1, q_2, \dots, q_k, 1$  is successful, and  $q_2$  is the unique back odd number of  $q_1$ .

Write  $T_{11} = \{f(q_2)\} = \{\{a_n\}\}$ , where  $\{a_n\} \in S_1 \cup S_2$ , and the odd number  $q_1$  is a term of  $\{a_n\}$ .

Write  $T_{12} = \{f(p) \mid p \in T_{11} \cap (D \cup E)\}$ . Assume that the sequence set  $T_{1n}$  has been formed.

Write  $T_{1,n+1} = \{f(p) \mid p \in T_{1n} \cap (D \cup E)\}$ .  
Denote  $T_1 = \bigcup_{n=1}^{\infty} T_{1n}$ .

Note that  $q_3$  is the unique back odd number of  $q_2$ .  
Write  $T_{21} = \{f(q_3) = \{b_n\}\}$ , where  $\{b_n\} \in S_1 \cup S_2$ , and the odd number  $q_2$  is a term of  $\{b_n\}$ .

Write  $T_{22} = \{f(p) \mid p \in T_{21} \cap (D \cup E)\}$ .  
Assume that the sequence set  $T_{2n}$  has been formed.  
Write  $T_{2,n+1} = \{f(p) \mid p \in T_{2n} \cap (D \cup E)\}$ .  
Denote  $T_2 = \bigcup_{n=1}^{\infty} T_{2n}$ .  
So on and so forth.

Suppose again that  $T_i = \bigcup_{n=1}^{\infty} T_{in}$  has been constructed, where  $i = 3, 4, \dots, k-2$ .

At this point,  $T_{i1}$  has only one sequence, denoted  $\{c_n\}$ , and  $q_i \in \{c_n\}$ .  $q_{i+1}$  is the unique back odd number of  $q_i$ .

Write  $T_{i+1,1} = \{f(q_{i+2}) = \{d_n\}\}$ , where  $\{d_n\} \in S_1 \cup S_2$ , and the odd number  $q_{i+1}$  is a term of  $\{d_n\}$ .  
Write  $T_{i+1,2} = \{f(p) \mid p \in T_{i+1,1} \cap (D \cup E)\}$ .  
Assume that the sequence set  $T_{i+1,j}$  has been formed.  
Write  $T_{i+1,j+1} = \{f(p) \mid p \in T_{i+1,j} \cap (D \cup E)\}$ .  
Denote  $T_{i+1} = \bigcup_{j=1}^{\infty} T_{i+1,j}$ .

And consider  $i = k-1$ . Then  $q_{i+2} = q_{k+1} = 1$ ,  $T_{i+1,1} = T_{k1} = \{f(q_{i+2}) = \{f(1)\}\}$ , where  $f(1)$  is the odd sequence  $\{e_n\}: 1, 5, 21, 85, 341, \dots, q_{i+1} = q_k$  is a term of  $\{e_n\}$ .

Write  $T_{k2} = \{f(p) \mid p \in T_{k1} \cap (D \cup E), \text{ and } p \neq 1\}$ .  
Assume that the sequence set  $T_{kj}$  has been formed, where  $j \geq 2$ .  
Write  $T_{k,j+1} = \{f(p) \mid p \in T_{kj} \cap (D \cup E)\}$ .  
Denote  $T_k = \bigcup_{j=1}^{\infty} T_{kj}$ .

Remarks:

(1) Note that  $T_k = H$ , that is, the sequence set  $H$  in §5 can also be constructed by the above method, and this process is the same as the generation process of  $G_n$ .

(2) See §5. Consider the constructions of  $G_1$  and  $G_2$ .  $G_{11} = \{f(q_2)\}$ , and  $G_{21} = \{f(q_3) = \{b_n\}\}$ , where the odd number  $q_2$  is just a general odd number in the sequence  $\{b_n\}$  of  $G_{21}$ , or in  $G_{21} \cap (D \cup E)$ .  $G_{11} = \{f(q_2)\}$  grows in the front odd number direction (let's say) to obtain a  $G_1$ . While there are infinitely many odd numbers in  $G_{21} \cap (D \cup E)$ , and  $G_2$  is obtained by growing the infinitely many odd numbers of  $G_{21} \cap (D \cup E)$  in the front odd number direction. Where each odd number in  $G_{21} \cap (D \cup E)$  also generates a sequence set equivalent to  $G_1$ . Thus,  $G_2$  is "infinitely many times" larger than  $G_1$ .

Similarly, for  $n = 3, 4, \dots$ ,  $G_n$  is "infinitely many times" larger than  $G_{n-1}$ . It can also be obtained for  $i = 1, 2, \dots, k-1$ ,  $T_{i+1}$  is "infinitely many times" larger than  $T_i$ .

(3) By the constructions of  $G_n$  and  $T_i$ , the difference between sequence set  $G_n$  and the sequence set  $T_i$  is that all terms of all sequences in  $T_i$  are successful, so when it grows in the back odd direction (let's say), it stops at odd number 1 to obtain  $T_k = H$ ; while all terms of all sequences in  $G_n$  are not successful, so as  $n$  increases infinitely,  $G_n$  is endlessly expanding at a great speed. From Theorem 4.4, we know that the sequences in  $H$  are not identical to each other, and no two sequences have the same term (odd number). So  $H$  can be regarded as a set of odd numbers. Similarly, from Theorem 5.7,  $G_n$  can also be regarded as a set of odd numbers. From the above, it is obtained that

as a set of odd numbers,  $H$  is a definite set of odd numbers, and as  $n$  increases infinitely,  $\lim_{n \rightarrow \infty} G_n$  is an indeterminate set of odd numbers.

Theorem 6.1:

Any odd number is successful.

Proof:

Let the set of all odd numbers be  $Q$ . Consider  $H$  as a set of odd numbers. By Theorem 4.4, the set of all successful odd numbers is  $H$ . Suppose there is an odd number that is not successful. And let the set of all not successful odd numbers be  $G$ . then  $G \cap H = \emptyset$ , and  $G \cup H = Q$ .

From Theorem 5.4 and Theorem 5.7, suppose there is an odd number that is not successful, then the sequence set  $G_n$ , which is regarded as the set of odd numbers, can be obtained. And it is known that any odd number of  $G_n$  is not successful. And from the above remark (3),  $\lim_{n \rightarrow \infty} G_n$  is an indeterminate set of odd numbers. Because  $G_n \subset G$ , where  $n = 1, 2, 3, \dots$ , so  $\lim_{n \rightarrow \infty} G_n \subset G$ , and the set  $G$  of all odd numbers, those are not successful, is also an indeterminate set of odd numbers. And the sets of odd numbers  $H$  and  $Q$  are both definite sets of odd numbers. So the equation  $G \cup H = Q$  does not hold, contradiction. Thus,  $G = \emptyset$ ,  $H = Q$ , and any odd number is successful. QED

Remark:

Treat each odd number in the set  $H$  as a vertex. Then connect an edge between any two odd numbers (vertices) in  $H$  that have a front and back odd number relationship. In particular, connect an edge between odd number 1 and any other odd number in the odd number set  $H_1$  except for odd number 1. At this point,  $H$  can be regarded as a tree with an odd root 1. We call it the  $H$ -tree. This  $H$ -tree contains all odd numbers. Because any triple odd number has no front odd number, each odd number in the triple odd number set  $F$  is a leaf of this  $H$ -tree.

Theorem 6.2:

Any positive integer is successful, i.e., Collatz conjecture holds.

Proof:

See Remark at the top part of Preliminaries. QED

Problem:

For any odd number  $p$ , how to get a integer  $k$  with a formula, so that  $p$  is  $k$ -steps successful, or,  $p \in H_k$  in  $H$

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