

# Collatz conjecture is true: A definite conclusion is drawn by using the principle of net induction rate and net reduction rate

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Collatz Conjecture".

**Key words:** *Reduction rate; Collatz problem; Sequential values; Experimental evidence; Probabilistic*

## ABSTRACT

This research work establishes a theory for concluding an armative answer to the famous, long-standing unresolved problem "The

## INTRODUCTION

In the well-known Collatz problem i.e.,  $3n + 1$  problem is the following:

If  $f(n) = \frac{n}{2}$ , when  $n$  is even and

.....  $=3n + 1$ , when  $n$  is odd,

do the sequential values of  $f(n)$  eventually reach to 1, for every natural number  $n$  ?

This problem was pursued by many researchers along dierent directions.

Following are, among others, some of the important methods available in the literature:

1. Experimental evidence,
2. A probabilistic heuristic argument,
3. Use of encoding matrix,
4. Generalization of the problem.

Obviously, experimental evidences cannot provide a satisfactory solution to the problem.

In probabilistic heuristic approach the following idea is explained:

If we choose  $n$  at random in the sense that it is odd with probability  $\frac{1}{2}$  and even with probability  $\frac{1}{2}$  then the Collatz function  $f_1: N \rightarrow N$  increases  $n$  by a factor roughly  $\frac{3}{2}$  half the time and decreases it by a factor of  $\frac{1}{2}$  the time.

Furthermore, if  $n$  is uniformly distributed modulo 4, one easily verifies that  $f_1$  is uniformly distributed modulo 2 and so  $f_1^2$  should

be roughly  $\frac{3}{2}$  as large as  $f_1(n)$  half the time and roughly  $\frac{1}{2}$  times as

large as  $f_1(n)$  the other half of the time. Continuing this at a heuristic level, we expect generally that

$f_1^{k+1}(n) \approx \frac{3}{2} f_1^k(n)$  half the time, and

$f_1^{k+1}(n) \approx \frac{1}{2} f_1^k(n)$  the other half of the time.

The logarithm  $\log f_1^k(n)$  of this orbit can be modelled heuristically by

a random walk with steps  $\log \frac{3}{2}$  and  $\log \frac{1}{2}$  occuring with equal probability. The expectation  $\frac{1}{2} \log \frac{3}{2} + \frac{1}{2} \log \frac{1}{2} = \frac{1}{2} \log \frac{3}{2} < 0$  and so (by the classic gambler's ruin) we expect the orbit to decrease over the long term. This can be viewed as the heuristic justification of the Collatz Conjecture.

But this probabilistic approach cannot ensure a clear solution to the problem.

The generalized form of the problem is  $T_n(x) = \frac{x}{p_1 p_2 \dots p_k}$  where  $p_i$ 's

are primes less or equal to  $p_n$  dividing the numerator and

$= p_{n+1}^x + 1$ , if no prime  $p_i \leq p_n$  divides  $x$ .

Using this generalized form, the author used the concept of encoding matrix and generalized some results in the paper of Terras. But the author upheld a heuristic argument against the existence of divergent conjectures, which also cannot give a satisfactory answer to the main problem.

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In 1985 J.C. Lagarias pursued the problem by using Weakly connected graph of the Collatz Graph. Lagarias had the following comment in his article 'The  $3x + 1$  problem and its generalizations':

"Of course there remains the possibility that someone will find some hidden regularity in the  $3n+1$  problem that allows some of the conjectures about it to be settled.

The existing general methods in number theory do not seem to touch the  $3n+1$  problem. In this sense it seems intractable at present.

Study of this problem has uncovered a number of interesting phenomena. It also serves as a benchmark to measure the progress of general mathematical theories. For example, future developments in solving exponential diophantine equations may lead to the resolution of the definite cycles conjecture."

A new approach is established in this research work which concludes an affirmative answer to the problem. This approach invents the 'Principle of Net Induction Rate and Net Reduction Rate'.

Five main sections are there in this article to achieve the required goal. Second section contains preliminaries and some basic results.

Third section introduces concepts of Immediate odd predecessors and Immediate odd successor and studies some vital observations.

Fourth section deals with the study of dependence of C-convergence of naturals of the form  $4n+3$  on C-convergence of the naturals of the form  $3\omega-1$  and  $3\mu-2$ .

Fifth section introduces the concepts of Collatz Decisive Subset, Net Induction Rate, Net Reduction Rate and finally draws a definite conclusion to the problem.

**PRELIMINARIES**

For any natural number  $n$ , if the Collatz sequence of  $n$  eventually reaches to 1, we write the fact as  $n \rightarrow 1$ . For example,  $7 \rightarrow 22 \rightarrow 11 \rightarrow 34 \rightarrow 17 \rightarrow 52 \rightarrow 26 \rightarrow 13 \rightarrow 40 \rightarrow 20 \rightarrow 10 \rightarrow 5 \rightarrow 16 \rightarrow 8 \rightarrow 4 \rightarrow 2 \rightarrow 1$ .

This will be written simply as  $7 \rightarrow 11 \rightarrow 17 \rightarrow 13 \rightarrow 5 \rightarrow 1$ , ignoring the even terms. This will be called Collatz sequence of 7, as we have started with 7 itself. Since 7 eventually reaches to 1, we write  $7 \rightarrow 1$  and say that 7 C-converges to 1'.

**Observation 1.**

(i) Any odd natural number  $x (> 4)$  can be one of the following forms:

$x = 4n + 1$  for some  $n \in N$ ,

$x = 4n + 3$  for some  $n \in N$ .

(ii) for any  $n \in N$  we have the following observation on Collatz sequences:

$4n + 1 \rightarrow 12n + 4 \rightarrow 6n + 2 \rightarrow 3n + 1$ .

This will be written in short as  $4n + 1 \rightarrow 3n + 1$ .

(iii) let  $n$  be odd. Then

$4n + 1 \rightarrow 1$  iff  $n \rightarrow 1$ .

This follows immediately because  $4n + 1 \rightarrow 3n + 1$  and since  $n$  is odd, we have  $n \rightarrow 3n + 1$ .

By a reduction of an odd natural  $x$ , we shall mean that in the Collatz sequence of  $x$ ,  $x$  eventually reaches to some  $y$ , where  $y < x$ .

For example,  $9 \rightarrow 28 \rightarrow 14 \rightarrow 7$ . So 9 has a reduction.

Note that every even  $2t$  has a reduction and for any  $4t+1$ , since  $4t+1 \rightarrow 3t+1$ ,  $4t+1$  has a reduction.

The following result holds:

**Theorem 1**

The following statements are equivalent:

- i.  $n \rightarrow 1$  for all  $n \in N$ .
- ii.  $4n + 3$  has a reduction for each  $n \in N$ .