

The potential for using alpha-linolenic acid as a therapy for cardiovascular disease

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Cardiovascular disease remains a leading cause of mortality worldwide. It is now clear that myriad modifiable risk factors cause the majority of serious and chronic cardiovascular diseases. Most of these risk factors can be modified through changes in lifestyle and/or with pharmacotherapy. Advances in drug therapy for cardiovascular disease have had a large impact on heart disease over the past six decades. Currently, however, scientific investigations have expanded their focus to study the potential for foods and nutrients that provide the body with health benefits beyond normal nutrition. Many of these 'functional foods' or 'nutraceuticals' have

demonstrated direct benefit for cardiovascular health – for both primary and secondary prevention of cardiovascular disease. Flaxseed is an example of a functional food that has demonstrated significant cardioprotective effects such as lowering the risk of sudden cardiac death or recurrent myocardial infarction. It has demonstrated antiarrhythmic, antihypertensive, antioxidant and lipid-lowering properties. Many of these beneficial effects have been attributed to the rich content of alpha-linolenic acid found in flaxseed. The effects of alpha-linolenic acid on cardiovascular disease, either delivered as a nutraceutical extract or through ingestion of flaxseed in the diet, are discussed in detail.

Key Words: *Alpha linolenic acid; Cardiovascular disease; Flaxseed; Heart disease; Polyunsaturated fatty acids*

FUNCTIONAL FOODS FOR THE PREVENTION AND TREATMENT OF CARDIOVASCULAR DISEASE

Many natural food products possess a significant source of health benefit beyond normal nutrition. In recent years, a field of study has emerged that focuses on elucidating the beneficial or deleterious effects that specific foods and diets may have on various disease processes. One area of investigation is the role that specific nutrients play in the prevention of chronic cardiovascular disease (CVD) (1). Large-scale animal and human trials continue to identify the central importance of how different food sources affect modifiable cardiac risk factors such as diabetes, obesity and inflammation (2,3), diseases that are now reaching epidemic proportions.

One example of a diet-based clinical study that has changed food-intake guidelines to benefit cardiovascular health is the Dietary Approaches to Stop Hypertension (DASH) trial (4). The DASH trial compared a typical Western diet with a diet rich in fruits, vegetables, low-fat dairy products and low in saturated fats. After two weeks of intervention, systolic blood pressure decreased significantly by 5.5 mmHg compared with the control Western diet (4,5). This study demonstrates how simple alterations to a typical Western diet may result in a direct, positive net effect on health and the reduction of cardiovascular risk factors. Diet may also have significant implications in both the costs and benefits of long-term human health. As a result, many natural products that contain myriad properties that may directly influence chronic disease processes have been identified. Natural products that have been proven to elicit positive health effects are termed either 'nutraceuticals' or 'functional foods'. As defined by Health Canada, a nutraceutical is "a product isolated or purified from foods that is generally sold in medicinal forms not usually associated with food. A nutraceutical is demonstrated to have a physiological benefit or provide protection against chronic disease", whereas a "functional food is similar in appearance to, or may be, a conventional

food, is consumed as part of a usual diet, and is demonstrated to have physiological benefits and/or reduce the risk of chronic disease beyond basic nutritional functions" (6). Several nutraceuticals and functional foods have been shown to improve cardiovascular health (7,8).

THE ROLE OF FIBRE AND OMEGA-3 FATTY ACIDS

A product that has been clearly implicated in the reduction of cardiovascular risk is dietary fibre. Fibre possesses lipid-lowering, blood pressure-lowering and antioxidant effects (9,10). Fibre is ingested, but is a nonabsorbable substance. Fibre may be ingested in either a soluble or insoluble form. Soluble fibre absorbs water, gaining viscosity and becomes fermented in the distal colon, while insoluble fibre binds gut contents, preventing absorption and increasing fecal mass (11,12). Overall, dietary fibre interferes with the absorption of gut contents and increases gastric emptying. For example, fibre exhibits a cholesterol-lowering effect via its action as a bile salt sequestrant (13). Soluble fibre binds bile salts in the gut, preventing their re-entry into circulation and eventual hepatic reabsorption; these salts are then excreted. The subsequent decrease in circulating bile salts causes an increase in expression of the hepatic enzyme alpha-cholesterol hydroxylase that transforms cholesterol to cholic acid to replenish lost bile salts (10). This process further decreases the amount of endogenous cholesterol available for packaging and its entry into the circulation as a lipoprotein.

Recent findings suggest that the fibre viscosity may, in fact, be more closely linked with the lipid-lowering effects of dietary fibre. Vuksan et al (14) demonstrated that the lipid-lowering action of dietary fibre was significantly increased when a highly viscous form of fibre was administered in conjunction with a typical North American diet. This cholesterol-lowering effect was significantly greater than two other treatment arms containing greater amounts but less viscous forms of dietary fibre (14). Contemporary guidelines suggest the ingestion of 30 g to 40 g of dietary fibre daily for a positive cardiovascular

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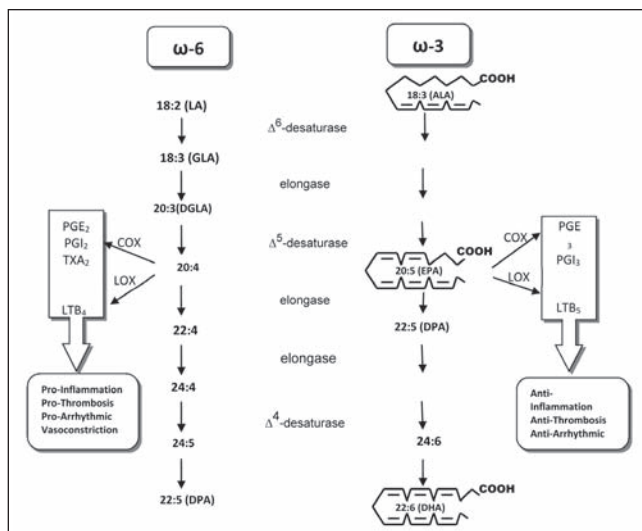


Figure 1 Biochemical pathway of ω -6 and ω -3 fatty acids. AA Arachidonic acid; ALA α -linolenic acid; COX Cyclooxygenases; DGLA Dihomo γ -linolenic acid; DHA Docosahexaenoic acid; DPA Docosapentaenoic acid; EPA Eicosapentaenoic acid; GLA γ -linolenic acid; LA Linoleic acid; LOX Lipoxygenases; LTB₄ Leukotriene B₄; PGE₂ Prostaglandin E₂; PGI₂ Prostaglandin I₂; PGE₃ Prostaglandin E₃; PGI₃ Prostaglandin I₃; LTB₅ Leukotriene B₅; TXA₂ Thromboxane A₂

effect to be observed. The best dietary sources of fibre include whole fruits, vegetables, seeds and pulse crop products such as beans (15).

An example of a seed that provides a significant source of fibre is flaxseed (*Linum usitatissimum*). Flaxseed is composed of three major components: seed, lignin and oil. The fibre components found within the seed and lignin have been attributed to the modest cholesterol-lowering effects observed with the ingestion of flaxseed (16). In total, dietary fibre accounts for 28% of flaxseed by weight (17). Flaxseed has been identified as a functional food, and has been implicated in the reduction of primary cardiovascular risk and possible secondary prevention of CVD. Beyond flaxseed's fibre component, it is believed to be beneficial for cardiovascular health due to its high concentration of the omega-3 polyunsaturated fatty acid (PUFA) alpha-linolenic acid (ALA). Flaxseed is one of the richest dietary sources of ALA (18). Approximately 50% of the oil component of flax is ALA.

OMEGA-3 FATTY ACID STRUCTURE AND METABOLISM

In terms of chemical structure, omega-3 fatty acids are long-chain fatty acids chemically configured in a *cis* conformation and contain two double bonds within the chain. The final double bond is located at the third-last carbon of the chain. Omega-3 fatty acids are complex PUFAs that require more energy to make bioavailable than various other food molecules such as carbohydrates or saturated fats. As a result, omega-3 fatty acids have a much slower rate of metabolism. Omega-3 fatty acids are structurally similar to their omega-6 fatty acid counterparts. Omega-6 fatty acids, however, are easily converted to arachadonic acid. From arachadonic acid, a series of proinflammatory downstream products are synthesized such as prostaglandins and thromboxanes (Figure 1). Omega-3 and omega-6 fatty acids are not interconverted within humans because of the lack of the proper desaturase enzyme. As a result, omega-3 and omega-6 fatty acids compete for enzymatic metabolism with other PUFAs and eventually eicosinoids, which may confer either pro- or anti-inflammatory downstream effects (Figure 1). Under normal circumstances, omega-3 fatty acids are actually preferentially bound by metabolizing enzymes.

While ancient diets provided an omega-6 to omega-3 ratio of approximately one, today's guidelines suggest a ratio of 4:1 for

maintenance of good cardiovascular health. Many estimates, however, place current ratios of omega-6 to omega-3 approaching 20:1 in a typical Western diet (19). This provides the body with an exponentially larger amount of omega-6 fatty acids than omega-3s. As a result, the abundance of omega-6 fatty acids overcomes the preferential binding of omega-3 fatty acids by metabolizing enzymes. An increased amount of omega-6 fatty acids become bound and form myriad proinflammatory eicosanoid molecules. Over time, this process may significantly increase an individual's overall inflammatory state which, in turn, has the potential to significantly accelerate chronic disease processes such as atherosclerosis. Omega-3 fatty acids actually provide an opposing effect when metabolized. While ALA possesses independent anti-inflammatory effects (20-22), it may also be metabolized to other PUFAs such as eicosapentaenoic acid (EPA) and, to a much lesser extent, docosahexaenoic acid (DHA) (Figure 1). These PUFAs stimulate the synthesis of eicosanoids, which decrease platelet adhesion and thrombosis (23,24).

Thus, in contrast to omega-6 fatty acids, omega-3 fatty acids confer anti-inflammatory characteristics and have been shown to clinically lower circulating markers of inflammation such as C-reactive protein and serum amyloid A (25,26). The ill-proportioned ratio of omega-6 to omega-3 may also be responsible for the negative or null results obtained in recent clinical trials investigating possible effects on CVD. In fact, the omega 6:omega 3 ratio is a possible source of error in the results of the recent Alpha Omega trial (27). In this dietary study, a margarine supplement rich in various omega-3 fatty acids was administered to postmyocardial infarction (MI) patients undergoing modern optimal medical therapy (27). No positive beneficial cardiovascular effects were observed; however, the omega-6:omega-3 ratio in the margarine supplement was very high – in fact, it was 2.3 times higher than the ratio utilized in the Lyon Heart Diet study (27). In light of this fact, added to the possibility that the Alpha Omega trial may have been underpowered, the results may become less significant. It is quite possible that the high omega-6 component of the dietary intervention may have masked the cardioprotective effects of the low-dose omega-3 fatty acid supplementation. Many of the large clinical trials that demonstrated a potential benefit of omega-3 fatty acids for secondary prevention of CVD also achieved a concomitant lowering of the omega-6:omega-3 ratio (28,29). Thus, the ability of omega-3 fatty acids to play a significant role in the secondary prevention of CVD remains a viable possibility.

OMEGA-3 FATTY ACIDS AND CVD

Omega-3 fatty acids may be obtained from several dietary sources. The most common source is fish and marine products. Fish provide an excellent source of the long-chain omega-3 fatty acids EPA and DHA. In 1973, Bang et al (30) first reported the beneficial effects of a marine based diet on cardiovascular health (30). EPA and DHA obtained from marine sources have been demonstrated to reduce the risk of MI, sudden cardiac death and all-cause mortality (31,32). The positive effects on cardiovascular health from omega-3 fatty acids derived from fish has prompted investigators to explore the potential benefits of other omega-3 fatty acids. Omega-3 fatty acids such as the short-chain fatty acids derived from plant sources may elicit similar protective effects and serve as an available alternative for people with allergies or general intolerance to fish. Increasing concerns about the contamination of marine products with elevated levels of substances toxic to the humans, such as mercury (particularly in commercially concentrated fish oils), has made the identification of alternative sources of omega-3 fatty acids even more important.

One example of a plant source rich in an omega-3 fatty acid is flaxseed. Flaxseed is also called linseed. Canada is one of the largest producers of flaxseed. Flaxseed is unique in that it is one of the richest sources of ALA. ALA is another omega-3 PUFA, apart from EPA and DHA, which has been independently identified as possessing several cardioprotective properties. Flaxseed exhibits cholesterol-lowering, antiarrhythmic, antiatherogenic and blood pressure-lowering effects (18,33).

It is believed that many of these beneficial effects may be due to its high content of ALA. Many of these effects have been well-demonstrated in various species of animals, as well as in healthy individuals. Current research is expanding the benefits of ALA to patients with pre-existing cardiovascular disease. This research will help to define the role of ALA beyond primary prevention of CVD and elucidate the possibility of ALA therapy for secondary treatment of CVD. The FlaxPAD study is a recent example of a local, large-scale clinical trial designed to determine the health effects of long-term dietary supplementation with flaxseed in patients with peripheral artery disease (34).

ABSORPTION CHARACTERISTICS AND BIOAVAILABILITY OF ALA

Essential fatty acids, such as ALA, must be ingested and absorbed because the body does not have the capacity to synthesize them. Given the benefits of increasing circulating ALA levels in blood and tissues, it is important to identify mechanisms that may influence the absorption and overall bioavailability of ALA.

Presently, there is limited information about the characteristics of ALA absorption. Our laboratory, however, has elucidated the dosage and form of flaxseed that provides the greatest bioavailability of ALA in humans. In one study (35), three types of flax-supplemented muffins, containing either whole or milled flaxseed, or flax oil and each providing 6 g of ALA were administered daily to a population 18 to 49 years of age over a 12-week period. It was determined that flax oil provided the greatest bioavailability of ALA over 12 weeks of supplementation compared with whole or milled flaxseed. Another study from our laboratory demonstrated a significant rise in plasma ALA concentrations following only four weeks of flax oil supplementation (36). Milled flaxseed, however, provided a similar bioavailability of ALA and was deemed to be significantly more tolerable over the longer 12-week supplementation period (35). Furthermore, milled flaxseed does not degrade as quickly as flax oil and appears to be more easily integrated into an individual's daily diet. The bioavailability of ALA obtained from ground flaxseed is also unaffected by a subject's age. Plasma ALA concentrations remained similar when comparing a young adult (18 to 29 years of age) and older adult (45 to 69 years of age) human population (37).

It remains largely unclear what effect interactions with other substances within the gut or enterocytes may have on the absorption and metabolism of ALA and other omega-3 fatty acids. Supplementation of the diet with cholesterol has led to an approximately 120-fold increase in plasma ALA levels in animals (38,39). The increase in intestinal cholesterol is believed to stimulate ALA absorption through the intestinal wall (40,41). However, essential fatty acids are not absorbed directly with cholesterol. While sterol transport occurs via the NPC1L1 transporter in the intestinal brush border in the gut, fatty acids are primarily absorbed passively via fatty acid binding transport proteins along the intestinal brush border in the small intestine (42). Under normal conditions, essential fatty acids have a very high rate of absorption, exceeding 90% (43). A potential mechanism for the observed increase in fatty acid absorption in the presence of increased gut cholesterol may be based on an increase in micelle formation and overall affinity and/or interaction of the intestinal brush border and gut contents (40,41). Thus, conversely, molecules that interfere with the formation of micelles or the affinity of gut contents for the intestinal brush border may markedly affect the overall bioavailability of cardioprotective fatty acids.

ANIMAL RESEARCH DEMONSTRATING THE CARDIOPROTECTIVE EFFECTS OF ALA

In recent years, several animal studies have demonstrated several cardioprotective effects of ALA (18,24). These have included effects on the vasculature as well as directly on the heart. With respect to the former effects, Bassett et al (18,44) demonstrated in an LDL receptor $-/-$ mouse model that the ingestion of flaxseed significantly attenuated the development of atherosclerosis induced by a diet either rich

in cholesterol or industrial trans-fats. Prasad (45) also demonstrated the ability of flaxseed to reduce the amount of atherosclerotic plaque formations in hypercholesterolemic rabbits by 46% when ingested over eight weeks despite no change in serum lipid levels over that time. Recently, Francis et al (46) demonstrated that flaxseed not only slowed the progression of atherosclerosis, but also induced its regression once the plaques were established.

Flaxseed has also been associated with improved vascular function. The ability of an artery to contract or relax is mediated, in part, via systemic or paracrine signalling of the endothelial vessel lining. Dupasquier et al (39) determined that following eight weeks of dietary supplementation with cholesterol and flax in rabbits, the presence of 10% flax in the diet significantly reduced the progression of atherosclerosis compared with 0.5% cholesterol supplementation alone. Even the combined treatment of a diet containing both 0.5% cholesterol and 10% flax demonstrated the same antiatherogenic effect. This observation, however, was attenuated at 16 weeks. Despite this, flax-supplemented groups still elicited a normalizing effect on aortic relaxation fully at eight weeks and partially at 16 weeks. These findings suggest a protective effect on endothelial-dependent vessel relaxation by flaxseed supplementation in hypercholesterolemic conditions (39). Talom et al (47) also demonstrated in hypertensive rats that a diet rich in flaxseed improved acetylcholine-mediated endothelial relaxation. Disturbances in vascular function may be caused by an insult to the endothelium at the beginning of the atherosclerosis or the exacerbation of pre-existing atherosclerotic disease. The ability to positively affect vascular function may be one mechanism responsible for the beneficial effects of flaxseed in the primary prevention of CVD.

The direct effects of flaxseed on cardiac function have also been investigated. Ander et al (48) reported that the ingestion of flaxseed by rabbits over a 16-week period elicited an antiarrhythmic effect in hearts during an *in vitro* ischemia-reperfusion challenge. The presence of flax in the diet caused a decrease in ventricular fibrillation and a shortening of the QT interval either alone or in conjunction with cholesterol supplementation. Similar anti-arrhythmic effects have been reported for different species and with other omega-3 fatty acid-based interventions (49,50). ALA may achieve its positive effects on postischemic cardiac function through an inhibition of $\text{Na}^+/\text{Ca}^{2+}$ exchange (51). It may also achieve its effects through an antioxidative mechanism. Oxygen-derived free radicals are believed to participate in ischemic injury to the heart (52-54). However, while the lignan component of flaxseed (secoisolaricresinol diglucoside [SDG]) has marked antioxidative effects (55,56), SDG is not absorbed from a flaxseed-supplemented diet. It is metabolized in the gut to enterolignans that can then circulate in the blood. Thus, the ultimate clinical relevance of SDG therapy remains unclear. This finding has not been translated into positive clinical trials (18).

CLINICAL TRIALS DEMONSTRATING THE CARDIOPROTECTIVE EFFECTS OF ALA

A number of large-scale clinical trials have supported the cardiovascular benefits of a diet rich in omega-3 fatty acids (17,29,33,57). Several studies have implicated the significant role ALA supplementation may play in the primary prevention of CVD. Ascherio et al (58) studied 43,757 men 40 to 75 years of age and free of previous CVD or diabetes. After six years of follow-up, it was determined that following a multivariate analysis, ALA was inversely correlated with coronary artery disease. Furthermore, this effect was not demonstrated by marine omega-3 fatty acids such as EPA. Thus, ALA may function by an independent cardioprotective mechanism other than via its conversion to long-chain fatty acids such as EPA or DHA (58).

In a prospective, 10-year study involving 76,283 women, Hu et al (59) demonstrated that a long-term ingestion of a diet rich in ALA provided a significant protective effect against fatal MI in women who had no previous ischemic heart disease (59). Djoussé et al (60) investigated the relationship between ALA intake and coronary artery disease in 4584 male and female study participants. ALA intake was

significantly and inversely correlated with the prevalence OR (95% CIs) of coronary artery disease. Those subjects (male or female) with the highest ALA intake had the greatest reduction in risk (60).

Another large, population-based, prospective study conducted by Albert et al (61) analyzed the ability of ALA to protect against sudden cardiac death in 76,763 women. After 18 years of follow-up, the investigators determined that the quintiles of women with the two highest daily intakes of ALA had a 38% and 40% reduction in sudden cardiac death, respectively (61).

There is a growing body of evidence supporting the role of omega-3 fatty acids for the secondary prevention of CVD. For example, the Diet and Reinfarction Trial (DART) (62) was one of the first large clinical trials to investigate the potential role that omega-3 fatty acids play in the secondary prevention of CVD. The DART trial enrolled >2000 men who had recently experienced MI. Subjects were randomly assigned to one of three dietary interventions for two years. One treatment arm ingested fatty fish two to three times per week. After two years of dietary intervention and consultation, this group had a 29% reduction in all-cause mortality. Although there was no significant change in the primary end point of re-infarction or ischemic heart death among any of the groups, the marked increase in survival in the fatty fish group lead to the conclusion that a diet supplemented with fatty fish that are rich in omega-3 fatty acids may decrease risk of mortality in men following a MI (62).

The GISSI-Prevenzione study (29) was a large-scale prospective clinical trial with >11,000 study participants. Again, this trial enrolled patients who had recently experienced their first MI. The study demonstrated that over 3.5 years of intervention, a significant 10% decrease in relative risk of the primary end point of death, nonfatal MI or stroke was observed in the treatment group receiving regular omega-3 fatty acid supplementation. There was also a small, but significant reduction in circulating triglyceride levels in the omega-3 fatty acids group (29).

In terms of ALA, the Lyon Diet Heart Study (28) examined the cardioprotective role that a Mediterranean-based diet rich in omega-3 fatty acids, specifically ALA, may exhibit on the secondary prevention of CVD. Patients were randomly assigned to either control or dietary intervention during their hospital stay following their first MI. The study investigated the recurrence of secondary events such as cardiac death, recurrent MI, unstable angina and heart failure over a 46-month period. The Lyon Diet Heart Study demonstrated a protective effect of approximately 15% against a second event in patients receiving the dietary intervention for the duration of the study. Furthermore, ALA was the only fatty acid to be significantly associated with cumulative survival and the absence of a second MI at follow-up (28,63).

Given the multitude of cardioprotective properties elicited by omega-3 fatty acids, and ALA specifically, it is increasingly important to understand the factors that influence its mechanisms of action. Recent trials involving hypertensive patients supplemented with flaxseed may provide some answers. In a recent, placebo-controlled, double-blinded trial in which patients with peripheral arterial disease were administered foods that contained 30 g of milled flaxseed over one year, significant decreases in both systolic (15 mmHg) and diastolic (7 mmHg) blood pressure were observed in hypertensive patients (64). This antihypertensive effect was associated with plasma ALA levels in these patients (64) and has been recently attributed to changes in the fatty acid-derived circulating oxylipin profile (65). Further work to understand more fully the characteristics and mechanism responsible for the antihypertensive actions of dietary flaxseed are warranted and important in view of the powerful relationship between hypertension and significant clinical cardiovascular events.

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